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PATENT
Attorney Docket No.: ABREAU-00104

2152

#4
JN
4/4/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2152
Robert Olan Keith, Jr.)
Serial No.: 09/800,607)
Filed: March 6, 2001)
For: **METHOD AND APPARATUS FOR**
APPLYING A PARAMETRIC
SEARCH METHODOLOGY TO A
DIRECTORY TREE DATABASE
FORMAT)
Assistant Commissioner for Patents)
Washington, D.C. 20231)
Examiner:)
162 N. Wolfe Road)
Sunnyvale, CA 94086)
(408) 530-9700)

TRANSMITTAL LETTER

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MAR 13 2002

Technology Center 2100

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 12, 2002
By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(c))

I hereby certify that this paper (along with an
envelope referred to as being attached or enclosed) is being
deposited with the U.S. Postal Service on the date
shown below with sufficient postage as first class
mail in an envelope addressed to the Assistant
Commissioner for Patents, Washington D.C. 20231.

HAVERSTOCK & OWENS LLP

2002-02-12-02
John D. Lewis



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FORMAT**

) **CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR § 1.97(e)**
162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached seven (7) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on January 11, 2002. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



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ABREAU-00104

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 12, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

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CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

Date: 2-13-02 By: Jonathan D. Larson



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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,089,952;
- U.S. Patent No. 5,121,493;
- U.S. Patent No. 5,701,467;
- U.S. Patent No. 6,067,552;
- U.S. Patent No. 6,226,641 B1;
- Japanese Patent Abstract JP 02001034619A; and
- Andrew M. Liao, "Self-adjusting Data Structures: Use Self-adjusting Heuristics to Improve the Performance of your Applications", Dr. Dobb's Journal, pgs. 1-9.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 12, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 2-12-02 By: Jonathan O. Owens